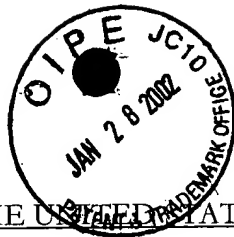


S/N 09/831,623



Rec'd EST/PTO 28 JAN 2002

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Ryan McGuinness et al.	Examiner:	Not yet assigned
Serial No.:	09/831,623	Group Art Unit:	Not yet assigned
Filed:	May 11, 2001	Docket:	G&C 131.13-US-WO
Title:	SELECTION SYSTEM FOR GENERATING EFFICIENT PACKAGING CELLS FOR LENTIVIRAL VECTORS		

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REC 09 2002

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CERTIFICATE UNDER 37 C.F.R. § 3.73(b)

CELL GENESYS, INC., a corporation organized and existing under the laws of the State of Delaware, having a place of business at 342 Lakeside Drive, Foster City, California 94404, certifies that it is the assignee of the entire right, title, and interest in the patent application identified above by virtue of:

An assignment from the inventor(s), Ryan McGuinness and Luigi Naldini to Cell Genesys, Inc., of the patent application identified above. The assignment was submitted for recording in the U.S. Patent and Trademark Office on September 12, 2001 (copy attached).

Cell Genesys, Inc. owns 100% interest in the above-identified patent application.

The undersigned (whose title is supplied below) is empowered to act on behalf of the assignee.

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements, as the like so made, are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Date: 11/8/01

By: Kenneth M. Goldman
Name: Kenneth M. Goldman
Title: Director Intellectual Property

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PATENTS ONLY**

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☐ **Correction of PTO Error**
Reel # Frame #

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☐ **Departmental File** ☐ **Secret File**

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Conveying Party(ies)

☐ **Mark if additional names of conveying parties attached**

Execution Date
Month Day Year
8/22/01

Name (line 1) Ryan McGuinness

Name (line 2)

Second Party

Name (line 1) Luigi Naldini

Name (line 2)

Execution Date
Month Day Year
8/20/01

Receiving Party

☐ **Mark if additional names of receiving parties attached**

Name (line 1) Cell Genesys, Inc.

Name (line 2)

Address (line 1) 342 Lakeside Drive

Address (line 2)

Address (line 3) Foster City

California

94404

City

State/Country

Zip Code

☐ **If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)**

Domestic Representative Name and Address

Enter for the first Receiving Party only.

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

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Mail documents to be recorded with required cover sheet(s) information to:
Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231

Correspondent Name and Address

Area Code and Telephone Number 202-659-9076

Name DEAN H. NAKAMURA

Address (line 1) ROYLANCE ABRAMS BERDO & GOODMAN

Address (line 2) 1300 19TH STREET, N.W.

Address (line 3) WASHINGTON, DC

Address (line 4) 20036

Pages

Enter the total number of pages of the attached conveyance document including any attachments.

3

Application Number(s) or Patent Number(s)

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

09/831,623

Patent Number(s)

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year

Patent Cooperation Treaty (PCT)

Enter PCT application number
only if a U.S. Application Number
has not been assigned.

PCT PCT PCT
PCT PCT PCT

Number of Properties

Enter the total number of properties involved.

1

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41): \$ 40.00

Method of Payment:
Deposit Account

Enclosed ☒

Deposit Account ☐

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

18-2220

Authorization to charge additional fees:

Yes ☒

No ☐

Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

DEAN H. NAKAMURA

Name of Person Signing

Signature

12 September 2001

Date

ASSIGNMENT

WHEREAS, we, Ryan McGuinness and Luigi Naldini, (hereinafter ASSIGNORS), have made a certain invention entitled **Selection System for Generating Efficient Packaging Cells for Lentiviral Vectors** for which we are making application for Letters Patent of the United States having U.S. Serial No. 09/831,623, filed 11 May 2001 and having Attorney Docket No. 41618, which application has been executed concurrently herewith; and

WHEREAS, **Cell Genesys, Inc.**, a corporation duly organized under the laws of the California, located and doing business at 342 Lakeside Drive, Foster City, CA 94404 (hereinafter ASSIGNEE), is desirous of acquiring the entire right, title and interest in and to the aforementioned invention and the aforementioned application and any and all Letters Patent to be obtained on said invention and/or application;

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN:

BE IT KNOWN that for good and valuable consideration paid to us by said ASSIGNEE, the receipt and sufficiency of which hereby is acknowledged, we, the ASSIGNORS, by those presents hereby do sell, assign, set over and transfer to said ASSIGNEE, its successors, legal representatives or assigns, the entire right, title and interest in and to the aforesaid invention in and for the United States and all countries foreign thereto; and in, to and under the aforesaid United States application and any corresponding foreign applications and any divisional, continuing, substitute or reissue applications or supplementary disclosures which may be filed on said invention in any country; and our right to file said foreign applications and claim priority under the provisions of the International Convention; and any Letters Patent of the United States or any foreign country issued or granted on said invention and/or said applications;

AND ASSIGNORS HEREBY authorize and request the Patent Office or other issuing authority to issue any and all patents on said invention and/or said application to said ASSIGNEE as sole assignee; and we further hereby authorize said ASSIGNEE to file and to prosecute any of said foreign applications in its own name;

AND ASSIGNORS HEREBY covenant that we have the full right to convey the entire right, title and interest herein assigned and that we have not executed and will not execute any assignment or other instrument in conflict herewith;

AND ASSIGNORS HEREBY further covenant and agree to communicate to said ASSIGNEE, or its legal representatives, successors or assigns, any facts relating to said invention, including evidence for interference purposes or other proceedings, whenever requested, and to testify in any interference or in any other legal proceeding, when requested, and to execute and to deliver on request all lawful papers required to make any of the foregoing provisions effective; and to perform the aforesaid communicating, executing and delivering, without any payment except expenses and to perform the aforesaid testifying for reasonable compensation; and generally to do everything possible to aid the said ASSIGNEE, its successors, legal representatives or assigns to obtain and to enforce proper patent protection on

and for said invention in all countries, and likewise we make those provisions binding on our heirs, legal representatives and/or administrators; and

WE HEREBY authorize our attorneys to alter this document as needed to provide any identifying information regarding the United States application referred to hereinabove, including, for example, if available, the serial number and filing date.

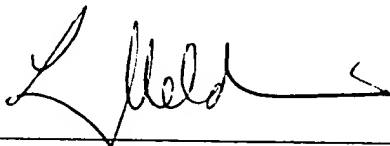
Date

Ryan McGuiness

Address:

8/20/2001

Date



Luigi Nalgini

Address:

CORSO LEPANTO 12
10134 , TORINO , ITALY

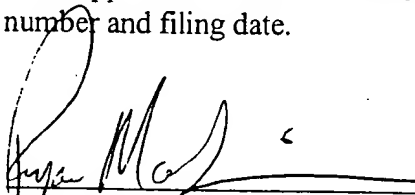
ASSIGNMENT
U.S. Serial No. 09/831,623

and for said invention in all countries, and likewise we make those provisions binding on our heirs, legal representatives and/or administrators; and

WE HEREBY authorize our attorneys to alter this document as needed to provide any identifying information regarding the United States application referred to hereinabove, including, for example, if available, the serial number and filing date.

8/22/01

Date



Ryan McGuiness

Address:

4112 Norton Ave
Oakland, CA 94602

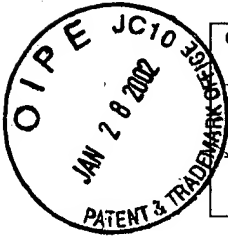
Date

Luigi Naldini

Address:

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

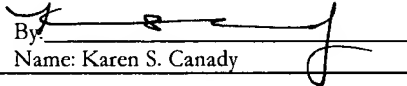
Applicant: Ryan McGuinness et al. Assignee: Cell Genesys, Inc.
 Serial No.: 09/831,623 Examiner: Not yet assigned
 Filed: May 11, 2001 Group Art Unit: Not yet assigned
 Docket: G&C 131.13-US-WO
 Title: SELECTION SYSTEM FOR GENERATING EFFICIENT PACKAGING
 CELLS FOR LENTIVIRAL VECTORS



CERTIFICATE OF MAILING OR TRANSMISSION UNDER 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on

6 Dec 2001

By: 
 Name: Karen S. Canady

REVOCATION AND POWER OF ATTORNEY

Commissioner for Patents
 Washington, D.C. 20231

Dear Sir:

Please revoke the existing Powers of Attorney, if any, and appoint the following attorneys and/or patent agents to prosecute this application and to transact all business in the U.S. Patent and Trademark Office in connection therewith:

George H. Gates	Registration No. 33,500
Victor G. Cooper	Registration No. 39,641
Karen S. Canady	Registration No. 39,927
William J. Wood	Registration No. 42,236
Jason S. Feldmar	Registration No. 39,187
Bradley K. Lortz	Registration No. 45,472
Kenneth M. Goldman	Registration No. 34,174
Linda R. Judge	Registration No. 42,702

Please direct all correspondence in this application to Karen S. Canady at:

CUSTOMER NUMBER 22462.

Gates & Cooper LLP
 Howard Hughes Center
 6701 Center Drive West, Suite 1050
 Los Angeles, California 90045
 Telephone: (310) 641-8797

Cell Genesys, Inc., [redacted] signee, declares that 100% ownership is established for the above-referenced invention, and patent application. A Certificate under 37 C.F.R. 3.73(b) is enclosed.

Respectfully submitted,
Cell Genesys, Inc.

Dated: 13 November 2001

By: Kenneth M. Goldman
Kenneth M. Goldman
Director Intellectual Property